Recent Development in Multicast Switching Networks

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Overview

- Introduction and background
- Previous related results
- Nonblocking multicast network
- Routing algorithm and network controller
- Necessary nonblocking condition
- Blocking probability analysis
- Experimental simulations
- Summary

Introduction

- Multicast (one-to-many) communication
 - transmitting information from a single source to multiple destinations in a network
 - a requirement in high-performance networks
 - increasingly used to support various applications
 - * audio and video multimedia conferencing
 - * web servers
 - * E-commerce on the Internet
 - * distributed database updates
 - * cache coherence protocols

Introduction

- Many multicast applications require
 - not only multicast capability
 - but also predictable communication performance: i.e. guaranteed quality-of-service (QoS)
 - * guaranteed multicast latency
 - * guaranteed multicast bandwidth
- The combination of the non-uniform nature of multicast traffic and the requirement of QoS guarantees makes the problem very challenging.

Introduction

- Performance of multicast communication is mainly measured in terms of its latency in delivering a message to all destinations
- By far most of work aims to
 - minimize multicast latency
 - design deadlock-free multicast routing algorithms
 - provide best-effort services
- Software approach: supporting multicast in software (unicastbased multicast)

- Hardware approach: providing hardware support for multicast at the network level
 - Router-based networks
 - * how to design a deadlock-free routing algorithm is a critical and difficult issue
 - Switch-based (switching) networks
 - * easily achieve deadlock-free routing
 - equal communication latency between any source and destination
 - * good candidate for a QoS capable multicast architecture

Objective of This Work:

Design nonblocking multicast switching networks with low hardware cost and fast routing algorithm

Terminologies

- A switching network is an $N \times M$ switch with N inputs and M outputs which provides connection paths between the input ports and output ports.
- A one-to-one connection is a connection of an input port to one output port. A maximal set of concurrent one-to-one connections is called a permutation assignment.
- A multicast connection is a connection where an input port can be simultaneously connected to more than one output port (but an output port can be connected to at most one input port at a time). A maximal set of concurrent multicast connections is called a multicast assignment.
- A connection request means an idle input port requests connection path(s) to idle output port(s).

Terminologies

- A multicast switching network is a network which can realize all possible multicast assignments.
- A rearrangeable network can satisfy all connection requests but sometimes requires rearranging the connection paths of existing connections.
- A nonblocking network can satisfy all connection requests and rearrangement is never required.

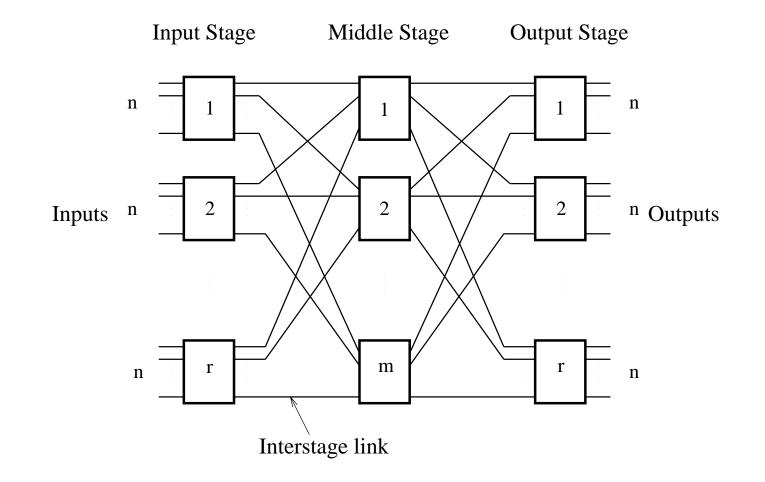
Motivation

- Multicast communication is a fundamental communication pattern in both telecommunication networks and scalable parallel and distributed computing systems
- A permutation network cannot support arbitrary multicast. For an $N \times N$ network, Number of permutation assignments: N!Number of multicast assignments: N^N
- Efficient implementation for multicast is critical to system performance.
- Support multicast at interconnection network level.
- Many applications require nonblocking capability.
 - Reduce overhead associated with rearrangements
 - Avoid disturbances of existing connections in the network
 - Especially important in real-time applications.

Outline of this work

- Design of nonblocking multicast networks
- Routing algorithm
- Parallel network controller
- Necessary nonblocking condition
- Analytical model for blocking probability
- Experimental simulations

Definition of the Clos network or v(m, n, r) network



Previous results on nonblocking conditions of the Clos network

• A v(m, n, r) network is nonblocking for permutation assignments [Clos, *BSTJ*, '53], if the number of middle stage switches

 $m \geq 2n-1$

 A v(m, n, r) network is nonblocking for multicast assignments [Masson, *Networks*, '72; Hwang and Jajszczyk, *IEEE Trans. Comm.*, '86], if the number of middle stage switches

 $m \ge c(nr)$

Fanout: A multicast connection from an input port to output ports on r' output switches is said to have fanout r'.

Input connection request: An input connection request I_j is the set of output switches to which input port j is to be connected.

<u>Destination sets</u>: Destination set M_j is the set of output switches to which the middle switch j is providing connection paths from the input ports.

<u>Available middle switches</u>: The available middle switches of input port j is the set of middle switches with currently unused links to the input switch associated with input j.

Design of nonblocking multicast switching networks

- Specify an "intelligent" control strategy for satisfying each multicast connection request: choose no more than a certain number of middle switches, say, x, whose destination set intersections are empty from available middle switches.
- Determine how many available middle switches can guarantee that these *x* middle switches can always be chosen. We are interested in finding as few as possible available middle switches with this property.
- Find the optimal value of x to minimize the number of middle switches.
- Develop an efficient control algorithm to actually find these x middle switches.
- Hardware implementation of the control algorithm to further speed up the routing process.

Nonblocking condition for multicast switching networks

Theorem 1. We can satisfy a new connection request I_i , $i \in \{1, 2, ..., nr\}$, in a v(m, n, r) network using some x ($x \ge 1$) middle switches, say, $j_1, ..., j_x$, from among the available middle switches if and only if I_i and the current destination sets of these x middle switches satisfy

$$I_i \cap (\bigcap_{k=1}^x M_{j_k}) = \phi.$$
(1)

Nonblocking condition for multicast switching networks

Theorem 2. For all n', $1 \le n' \le n$, and for all x, $1 \le x \le \min\{n', r\}$, let m' be the maximum number of middle switches whose destination sets have the following properties:

1. there are at most n' 1's, n' 2's, ..., n' r's distributed among the destination sets;

2. the intersection of any x of the destination sets is nonempty.

Then

$$m' \le n' r^{rac{1}{x}}$$

Proof sketch of Theorem 2

WLOG, suppose these m' middle switches are $1, 2, \ldots, m'$ with destination sets $M_1, M_2, \ldots, M_{m'}$. Clearly,

$$\sum_{i=1}^{m'} |M_i| \le n'r$$

Let

$$c_1 = \min_i \{|M_i|\}.$$

Then

$$m' \le \frac{n'r}{c_1} \tag{2}$$

WLOG, suppose that the destination set of middle switch 1 has cardinality c_1 , and $M_1 = \{1, 2, ..., c_1\}$.

Proof sketch of Theorem 2

Intersect each of the destination sets $M_1, M_2, \ldots, M_{m'}$ with M_1 and obtain m' sets $M_1^1, M_2^1, \ldots, M_{m'}^1$ which consist of only elements in $\{1, 2, \ldots, c_1\}$, and distributed among the M_i^1 's are at most n' 1's, n' 2's, $\ldots, n' c_1$'s. Let

$$c_2 = \min_i \{|M_i^1|\}$$

WLOG, suppose that M_2^1 has cardinality c_2 , and $M_2^1 = \{1, 2, \dots, c_2\}$. Then

$$m' \le \frac{n'c_1}{c_2} \tag{3}$$

Then intersect each of $M_1^1, M_2^1, \ldots, M_{m'}^1$ with M_2^1 and obtain m' sets $M_1^2, M_2^2, \ldots, M_{m'}^2$, which consist of only elements in $\{1, 2, \ldots, c_2\}$.

Proof sketch of Theorem 2

After repeating the above process x - 1 times, we have

$$m' \le \min\{\frac{n'r}{c_1}, \frac{n'c_1}{c_2}, \dots, \frac{n'c_{x-2}}{c_{x-1}}\}$$
 (4)

We now have a set of m' intersected destination sets M_1^{x-1}, M_2^{x-1} , ..., $M_{m'}^{x-1}$. Moreover, each M_k^{x-1} consists of only elements in $\{1, 2, \ldots, c_{x-1}\}$, and there are at most n' 1's, n' 2's, ..., and $n' c_{x-1}$'s distributed among these m' sets. Thus, we have

$$m' \le n' c_{x-1} \tag{5}$$

Therefore, from (3) and (4),

$$m' \leq \min\{\frac{n'r}{c_1}, \frac{n'c_1}{c_2}, \dots, \frac{n'c_{x-2}}{c_{x-1}}, n'c_{x-1}\}$$

It can be shown that

$$\max_{c_1, c_2, \dots, c_{x-1}} \min\{\frac{n'r}{c_1}, \frac{n'c_1}{c_2}, \dots, \frac{n'c_{x-2}}{c_{x-1}}, n'c_{x-1}\} = n'r^{\frac{1}{x}}$$

Therefore, we have $m' \le n'r^{\frac{1}{x}}$

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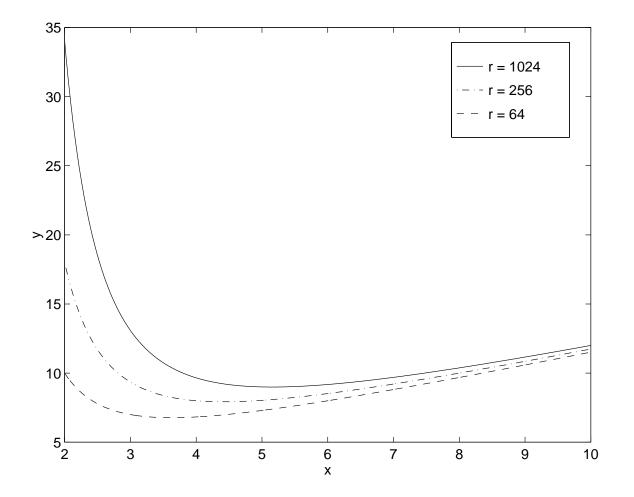
Nonblocking condition for multicast switching networks

Theorem 3. Av(m, n, r) network is nonblocking for multicast assignments if

$$m > \min_{1 \le x \le \min\{n-1,r\}} \{(n-1)(x+r^{\frac{1}{x}})\}$$

Nonblocking condition for multicast switching networks

Curve of function $y = x + r^{\frac{1}{x}}$



Relationship between r and coefficient of m, $\min_{1 \le x \le \min\{n-1,r\}}(x + r^{\frac{1}{x}})$

r	x	$x + r^{\frac{1}{x}}$
1	1	2
2	1	3
$4 = 2^2$	2	4
$9 = 3^2$	2	5
$27 = 3^3$	3	6
$81 = 3^4$	4	7
$256 = 4^4$	4	8
$1024 = 4^5$	5	9
$4096 = 4^6$	6	10
$16384 = 4^7$	7	11
$78125 = 5^7$	7	12
$390625 = 5^8$	8	13
$1953125 = 5^9$	9	14
$10077696 = 6^9$	9	15

Nonblocking condition for multicast switching networks

A bound on m as a function of $n \mbox{ and } r$

Theorem 4. A v(m, n, r) network is nonblocking for multicast assignments if

$$m \geq \Im(n-1) \frac{\log r}{\log \log r}$$

Generalization to restricted multicast assignments:

Corollary 1. A v(m, n, r) network is nonblocking for restricted multicast assignments, in which each input port can be connected to at most d ($1 \le d < r$) output switches, if

$$m > \min_{1 \le x \le \min\{n-1,d\}} \{(n-1)(x+d^{\frac{1}{x}})\}$$

In particular, we have

$$m > (n-1)\left(\frac{2\log d}{\log\log d} + (\log d)^{\frac{1}{2}}\right)$$

Permutation is a special case:

Corollary 2. Setting d = 1 in Corollary 1 yields $m \ge 2n - 1$, which is the bound on m associated with the classical Clos nonblocking permutation networks.

Generalization to (2k + 1)-stage networks (k > 1)

Recursively applying the design criteria on each middle stage switch.

Theorem 5. For each fixed integer $k \ge 1$, the minimum number of crosspoints of our (2k + 1) stage $(N \times N)$ multicast network is

$$O\left(N^{1+\frac{1}{k+1}}(\log N/\log\log N)^{\frac{k+2}{2}-\frac{1}{k+1}}\right)$$

Network cost comparison

- Constructive multicast networks:
 - Masson's three-stage network: $O(N^{\frac{5}{3}})$
 - Hwang and Jajszczyk's three-stage network: $O(N^{\frac{5}{3}})$
 - Feldman, Friedman and Pippenger's two-stage network: $O(N^{\frac{5}{3}})$; three-stage network: $O(N^{\frac{11}{7}})$
 - Three-stage version of the new design: $O(N^{\frac{3}{2}}(\frac{\log N}{\log \log N}))$
- Nonconstructive multicast networks:
 - Feldman, Friedman and Pippenger k-stage network: $O\left(N^{1+\frac{1}{k}}(\log N)^{1-\frac{1}{k}}\right).$

The routing control algorithm is NP-complete.

A linear routing control algorithm

- Given a v(m, n, r) network satisfying the nonblocking condition on m in Theorem 3.
- Some $x, 1 \le x \le \min\{n 1, r\}$
- A connection request I_i with $|I_i| = r' \leq r$
- $m' = (n-1)r'^{\frac{1}{x}} + 1$ available middle switches with destination sets $M_1, M_2, \ldots, M_{m'}$.

A linear routing control algorithm:

Step 1: $mid_switch \leftarrow \phi$; for j = 1 to m' do $S_j \leftarrow M_j \cap I_i$;

Step 2: repeat

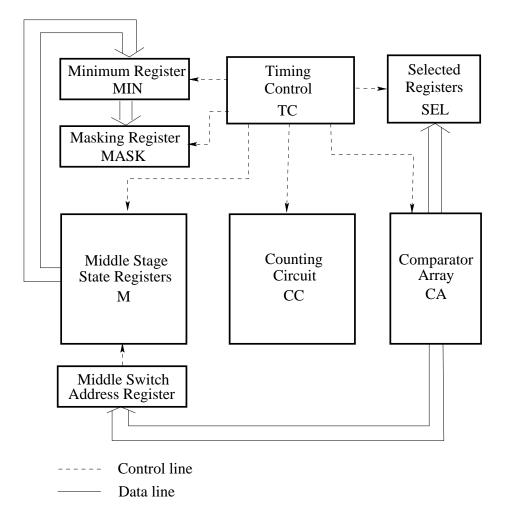
find S_k ($1 \le k \le m'$) such that $|S_k| = \min\{|S_1|, |S_2|, \dots, |S_{m'}|\};$ $min_set \leftarrow S_k;$ $mid_switch \leftarrow mid_switch \cup \{k\};$ if $min_set \ne \phi$ then for j = 1 to m' do $S_j \leftarrow S_j \cap min_set;$ until $min_set = \phi;$

Step 3: connect I_i through the middle switches in mid_switch and update the destination sets of these middle switches.

End

Hardware implementation of the control algorithm

• Overview structure



Hardware implementation of the control algorithm

- Sequential implementation
 - Sequentially evaluate the cardinality of each destination set of middle switches and find the minimum cardinality set.
- Parallel implementation
 - Parallel evaluation of the cardinalities of all destination sets of middle switches by using a sequential circuit or a combinational circuit.

Hardware implementation of the control algorithm

• Summary of the various designs of the controller

Design	Gates	Clocks	Gate Delays
Seq./			
Counter	$O(\log r)$	$O\left(\frac{mr\log r}{\log\log r}\right)$	—
Seq./			
Adder	O(r)	$O\left(\frac{m\log r}{\log\log r}\right)$	—
Parall./			
Counter	$O(m \log r)$	$O\left(\frac{r\log r}{\log\log r}\right)$	—
Parall./			
Adder	O(mr)	$O\left(\frac{\log r}{\log\log r}\right)$	$O\left(\frac{(\log r)^2}{\log\log r}\right)$

Necessary nonblocking condition

- Can the sufficient condition we obtained be further reduced?
- What is the optimal design for this type of multicast network?
- Derive necessary conditions for supporting arbitrary multicast assignment under different control strategies by constructing worst case network states which force us to use a certain number of middle stage switches.
- Employ these necessary conditions to guide the design process of multicast networks.

Routing control strategies used to derive necessary condition:

Strategy 1. For each input connection request, I_i , $i \in \{1, 2, ..., nr\}$, in the network, always choose the middle switch with the minimum cardinality of destination sets with regard to the unsatisfied portion of I_i from available middle switches, until I_i is satisfied, that is, until all middle switches chosen satisfy condition $I_i \cap (\bigcap_{k=1}^x M_{j_k}) = \phi$.

Note: This is the strategy used by the routing control algorithm we discussed earlier.

Necessary nonblocking condition

Strategy 2. For each input connection request I_i , $i \in \{1, 2, ..., nr\}$, choose the minimum number of middle switches that satisfy condition $I_i \cap (\bigcap_{k=1}^x M_{j_k}) = \phi$ for the current network state from the available middle switches.

Strategy 3. For each input connection request I_i , $i \in \{1, 2, ..., nr\}$, use an empty available middle switch (i.e., middle switch with no connections) only when there is no any subset of non-empty available middle switches can satisfy condition $I_i \cap (\bigcap_{k=1}^x M_{j_k}) = \phi$.

A fundamental lemma for constructing worst case network states:

Lemma 1. For sufficiently large n, r and $\frac{m}{n}(m > n)$, there exist m + n subsets of set $\{1, 2, ..., r\}$, $\mathcal{I}_1, \mathcal{I}_2, ..., \mathcal{I}_n, \mathcal{M}_1, \mathcal{M}_2, ..., \mathcal{M}_m$, which satisfy the following conditions:

- 1. the flattened set of $\{\mathcal{I}_1, \mathcal{I}_2, \ldots, \mathcal{I}_n, \mathcal{M}_1, \mathcal{M}_2, \ldots, \mathcal{M}_m\}$ is a multiset chosen from set $\{1, 2, \ldots, r\}$ with multiplicity of each element no more than n;
- 2. for some $x = \Theta\left(\frac{\log r}{\log m \log n}\right)$ and for any \mathcal{I}_i $(1 \leq i \leq n)$ and any $\mathcal{M}_{j_1}, \mathcal{M}_{j_2}, \ldots, \mathcal{M}_{j_x}$ $(1 \leq j_1 < j_2 < \cdots < j_x \leq m)$ $\mathcal{I}_i \cap (\bigcap_{k=1}^x \mathcal{M}_{j_k}) \neq \phi$.

Necessary nonblocking condition

Theorem 6. The necessary condition for a v(m, n, r) network to be strictly nonblocking for multicast assignments is $m \ge \Theta\left(n \frac{\log r}{\log \log r}\right)$.

Theorem 7. The necessary condition for a v(m, n, r) multicast network to be nonblocking under Strategy 1 is $m \ge \Theta\left(n \frac{\log r}{\log \log r}\right)$.

Theorem 8. The necessary condition for a v(m, n, r) multicast network to be nonblocking under Strategy 2 is $m \ge \Theta\left(n \frac{\log r}{\log \log r}\right)$.

Theorem 9. The necessary condition for a v(m, n, r) multicast network to be nonblocking under Strategy 3 is $m \ge \Theta\left(n \frac{\log r}{\log \log r}\right)$.

Necessary nonblocking condition

Conjecture 1. The necessary condition for a v(m, n, r) multicast network to be nonblocking under any strategy is $m \ge \Theta\left(n \frac{\log r}{\log \log r}\right)$.

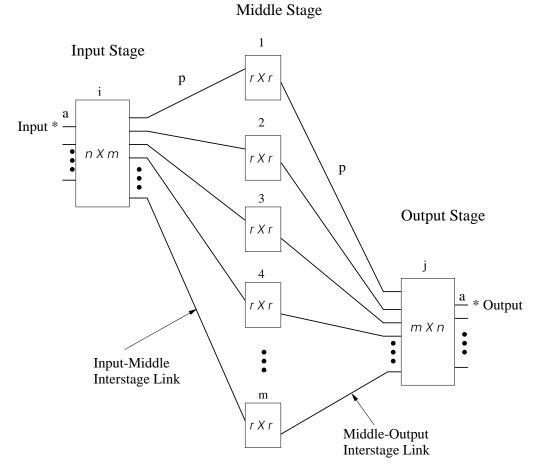
Based on this conjecture, $m = O\left(n \frac{\log r}{\log \log r}\right)$ is optimal for nonblocking v(m, n, r) multicast network.

Analytical model for the blocking probability of the networks with smaller \boldsymbol{m}

- The necessary and sufficient nonblocking condition we obtained suggests that there is little room for further improvement on the multicast nonblocking condition.
- What is the blocking behavior of the multicast network with smaller number of middle stage switches? For example, a network with only the same number of middle stage switches as a nonblocking permutation network, i.e. m = 2n - 1.
- Develop an analytical model for the blocking probability of v(m, n, r) multicast network.
- Look into the blocking behavior of the networks under various routing control strategies through simulations to validate our model.

Lee's model for permutation Clos network

• The m paths between a given input/output pair:



- *a*: the probability that a typical input (or output) link is busy
- *p*: the probability that an interstage link is busy.

Lee's model for permutation Clos network

• Random routing strategy:

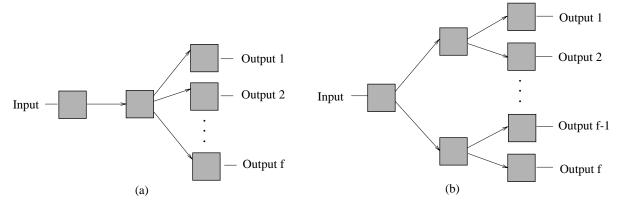
assume incoming traffic is uniformly distributed over m interstage links and the events that individual links in the networks are busy are independent.

- The probability that an interstage link is busy is $p = \frac{an}{m}$.
- The probability that an interstage link is idle is q = 1 p.
- The probability that a path (consisting of two interstage links) cannot be used for a connection is $1 q^2$.
- The blocking probability (i.e., all *m* paths cannot be used)

$$P_B = [1 - q^2]^m$$

Apply Lee's model to multicast communication

• Different ways to realize a multicast connection with fanout f.



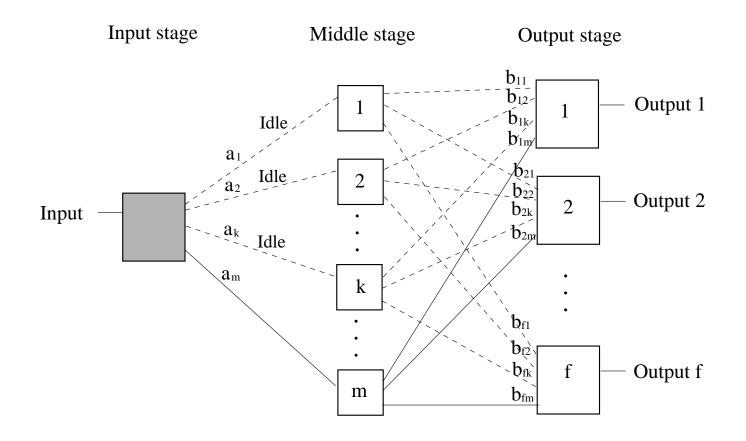
• The total number of ways to realize a multicast connection with fanout $f \ (1 \le f \le r)$ is

$$\sum_{j=1}^{f} {m \choose j} S(f,j)j!,$$

where S(f, j) is the Stirling number of the second kind.

• The dependencies among multicast trees make the problem intractable.

Consider a subnetwork associated with a multicast connection with fanout f, where k input-middle interstage links are idle.



Notations and assumptions

- a_i : the event that the input-middle interstage link a_i is busy.
- b_{ij} : the event that the middle-output interstage link b_{ij} is busy.
- ε : the event that the connection request with fanout f cannot be realized.
- σ : the state of the input-middle interstage links a_1, a_2, \ldots, a_m .
- $P(\varepsilon|\sigma)$: the conditional blocking probability in this state.
- $P(\sigma)$: the probability of being in state σ .

$$P(\sigma) = q^k p^{m-k}$$

• Still follow Lee's assumption that the events that individual links are busy are independent.

Blocking probability for a multicast connection with fanout f

$$P_B(f) = P(\varepsilon) = \sum_{\sigma} P(\sigma) P(\varepsilon | \sigma)$$
$$= \sum_{k=0}^{m} {m \choose k} q^k p^{m-k} P(\varepsilon | \bar{\mathbf{a}}_1, \dots, \bar{\mathbf{a}}_k, \mathbf{a}_{k+1}, \dots, \mathbf{a}_m)$$

Blocking property of the subnetwork

Lemma 2. Assume that the interstage links a_1, a_2, \ldots, a_k in the subnetwork are idle. A multicast connection from an input of the input switch to *f* distinct output switches cannot be realized if and only if there exists an output switch whose first *k* inputs are busy.

• Let ε' be the event that the connection request with fanout f cannot be realized given links a_1, a_2, \ldots, a_k are idle.

$$P(\varepsilon') = P(\varepsilon | \bar{\mathbf{a}}_1, \dots, \bar{\mathbf{a}}_k, \mathbf{a}_{k+1}, \dots, \mathbf{a}_m).$$

• From Lemma 2, event ε' can be expressed in terms of events \mathbf{b}_{ij} 's:

$$\varepsilon' = (\mathbf{b}_{11} \cap \mathbf{b}_{12} \cap \cdots \cap \mathbf{b}_{1k}) \\ \cup (\mathbf{b}_{21} \cap \mathbf{b}_{22} \cap \cdots \cap \mathbf{b}_{2k}) \cup \cdots \\ \cup (\mathbf{b}_{f1} \cap \mathbf{b}_{f2} \cap \cdots \cap \mathbf{b}_{fk}).$$

• The probability of event ε'

$$P(\varepsilon') = 1 - \prod_{i=1}^{f} P(\overline{\mathbf{b}_{i1} \cap \mathbf{b}_{i2} \cap \dots \cap \mathbf{b}_{ik}})$$
$$= 1 - \prod_{i=1}^{f} (1 - p^k) = 1 - (1 - p^k)^f$$

Blocking probability for a multicast connection with fanout f

$$P_B(f) = \sum_{k=0}^m \binom{m}{k} q^k p^{m-k} [1 - (1 - p^k)^f].$$

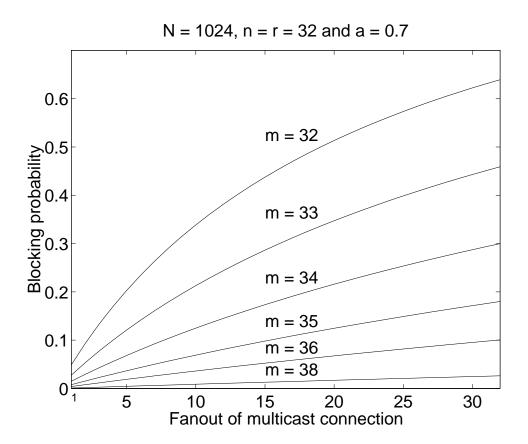
Unicast special case (f = 1):

$$P_B(1) = \sum_{k=0}^m {m \choose k} q^k p^{m-k} [1 - (1 - p^k)]$$

= $(1 - q^2)^m$.

This is exactly Lee's blocking probability for the v(m, n, r) permutation network.

Blocking probabilities for v(m, 32, 32) network with fanouts between 1 and 32:



The blocking probability $P_B(f)$ is an increasing sequence of fanout f.

Average blocking probability over all fanouts

• Suppose the fanout of a multicast connection is uniformly distributed over 1 to r. The average value of the blocking probability, simply referred to as the blocking probability of the v(m, n, r)multicast network:

$$P_B = \frac{1}{r} \sum_{f=1}^r \sum_{k=0}^m {m \choose k} q^k p^{m-k} [1 - (1 - p^k)^f]$$

Average blocking probability over all fanouts

Asymptotic bound on the blocking probability

When m = n + c or m = dn, where c and d are some constants, if r = O(n) we can obtain

$$P_B = O(e^{-\epsilon n})$$

where ϵ is a constant > 0.

This suggests that the blocking probability tends to zero very quickly as *n* increases.

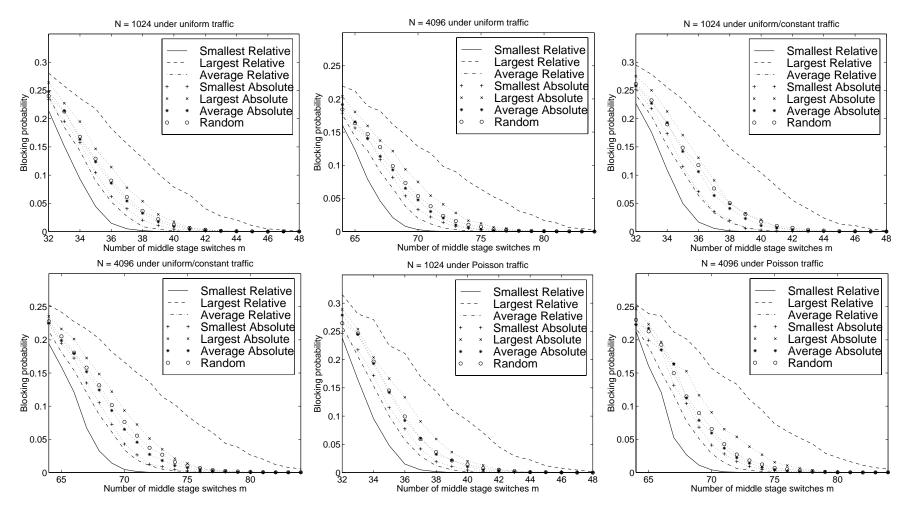
Experimental simulations

- Routing control strategies used in the simulation
 - **1. Smallest Absolute Cardinality Strategy**
 - 2. Largest Absolute Cardinality Strategy
 - 3. Average Absolute Cardinality Strategy
 - 4. Smallest Relative Cardinality Strategy
 - 5. Largest Relative Cardinality Strategy
 - 6. Average Relative Cardinality Strategy
 - 7. Random Strategy

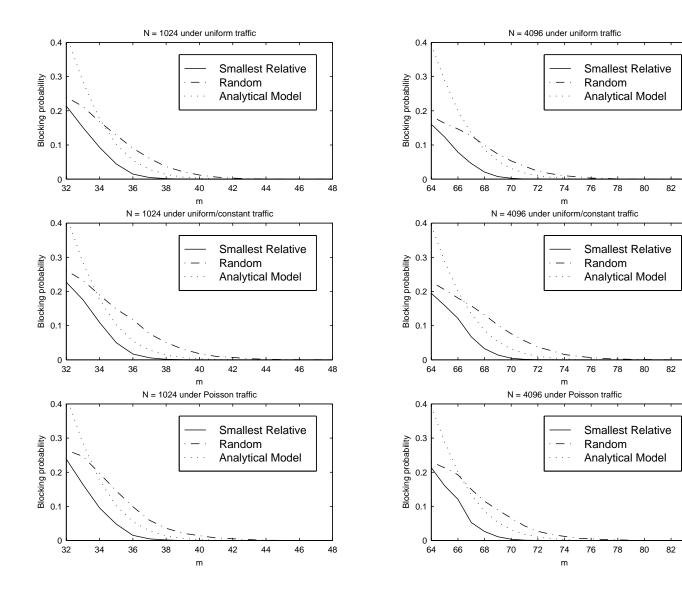
Experimental simulations

- Two network configurations considered:
 - N = 1024, n = r = 32, and $32 \le m \le 48$. N = 4096, n = r = 64, and $64 \le m \le 88$.
- Seven routing control strategies
- Three types of traffic: uniform, uniform/constant, and Poisson
- Initial network utilization = 90%
- 25,000 connection requests processed per configuration per strategy

The blocking probability of the v(m, n, r) multicast network under seven routing control strategies:



Comparison between the analytical model and the simulation results



Summary

Designed currently best available explicit construction of nonblocking multicast networks.

- Reduced the number of middle switches from O(nr) to $O(n\frac{\log r}{\log \log r})$.
- Provided a linear time network control algorithm for satisfying connection requests.
- The hardware implementations of the controller provide fast path routings and require only a small amount of hardware compared with the switching hardware.

 Derived necessary conditions for the nonblocking multicast networks under several typical control strategies:

$$m \geq \Theta\left(n\frac{\log r}{\log\log r}\right)$$

- The necessary conditions obtained match the sufficient nonblocking condition under Strategy 1.
- Proposed an analytical model for the blocking probability of the multicast networks.
- Conducted extensive simulations to validate the model.
- The analytical and simulation results indicate that a network with a small m, such as m = n + c or dn, is almost nonblocking for multicast connections.

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